

# Office of the Controller and Business Services (OCBS)

**Proactive**

**Service**

**Compliance**

**Mitigation**



## Our Location

University of California  
Agriculture & Natural Resources  
Office of the Controller & Business Services  
1111 Franklin Street, 6th Floor  
Oakland, CA 94607

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Inquiries regarding the University's nondiscrimination policies may be directed to the Affirmative Action/Equal Opportunity Director, University of California, Agriculture and Natural Resources, 1111 Franklin St., 6th Floor, Oakland, CA 94607, (510) 987-0096.

# Agriculture & Natural Resources

## Office of the Controller and Business Services (OCBS)



## Controller and Business Services

### Director

Jake McGuire 510-987-9052  
jake.mcguire@ucop.edu

<http://ucanr.org/ocbs>

- ANR Chief Ethics and Compliance Officer
- Liaison to the General Counsel of The Regents
- Liaison to University Auditor
- Controls Initiative Program
- Enterprise Risk Management Program
- Internal Controls
- Financial Oversight
- Whistleblower Complaints

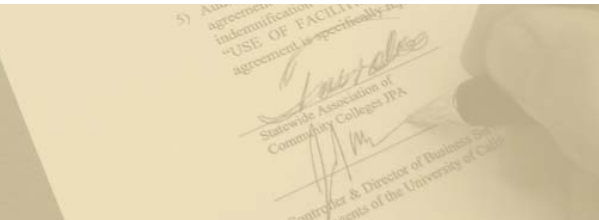


### Administrative Policies & Business Contracts

Catherine Montano 510-987-0103  
catherine.montano@ucop.edu

<http://ucanr.org/adminpolicies>

- UC and ANR Policy
- Business Contracts
- Memoranda of Understanding (MOUs)
- Volunteer Group Administration (4-H, Master Gardeners)
- Legal Issues including Subpoenas, Public Records
- Requests, and Conflict of Interest
- Delegations of Authority



## Computer Services (ANR Oakland)

David Underwood 510-987-0072  
david.underwood@ucop.edu

<http://groups.ucanr.org/computerucop/>

- Computer & Web Support for ANR Oakland
- Network system Administration and Analysis
- Liaison to UC Office of the President (OP)
- Information Resources & Communications and other ANR Computer Support Units



### Controller's Immediate Office (ANR Oakland)

Claudine Kent 530-752-7496  
claudine.kent@ucop.edu

<http://ucanr.org/ocbs>

- Principal Analyst support to Controller for research, analysis, recommendations and related reporting in ANR business and financial affairs
- Coordinate ANR Whistleblower Investigations and reviews
- Audit and compliance report recommendations oversight
- Analytical support for Risk Services

## Environmental Health & Safety

Brian Oatman 530-752-6024  
boatman@ucdavis.edu

<http://safety.ucanr.org/>

- Develop policies, guidelines and other tools to comply with regulations
- Provide training and resources to conduct training
- Assess compliance, injuries, potential hazards, environment and risk

## Financial Services

Ben Chin 510-987-0704  
ben.chin@ucop.edu

<http://ucanr.org/financialserv>

- Financial Policy
- Cash Management
- General Ledger Maintenance
- Compliance with Governmental and UC Finance Regulations
- Coordinator of UC Financial Systems
- Document ANR Program Impacts via Current Research Information System (CRIS)
- Track Federal Funds Allocations and Expenditures via UC Corporate Financial System Data Warehouse
- Annual Expenditure Reporting
- On-Line and Ad-Hoc Corporate Reporting
- ANR Account Maintenance



### Risk Services

Linda Harris 530-752-7481  
loristine.harris@ucop.edu

<http://ucanr.org/risk>

- Risk Management Assessment, Advice, & Consultation
- Claims Management including Incident Reports
- UC Self-Insurance Program
- Certificates of Insurance
- Facility Use Agreements (FUAs)



Photo by: Thijs van Exel